28th NORTHEAST ASIA COOPERATION DIALOGUE

AGENDA DIRECTORY

March 28–29, 2018 | La Jolla, California, USA
We are delighted to welcome you to La Jolla, California, for the 28th Northeast Asia Cooperation Dialogue (NEACD). Deepening uncertainties and tensions in Northeast Asia and the international system more broadly make this meeting an opportune time to exchange ideas candidly among participants from all attending countries.

With a rich selection of topics to be discussed at NEACD we are looking forward to our usual rounds of insightful and meaningful exchanges.

As this is a Track 1.5 event and completely off the record, all the government and defense representatives come in a non-official status, which means they do not represent their governments. We hope that this will allow for free and frank discussions. You will find complete agendas and participant lists within this directory.

With best wishes,

TAI MING CHEUNG
DIRECTOR, IGCC

SUSAN SHIRK
DIRECTOR EMERITUS, IGCC
The states of Northeast Asia share the common objectives of peace, prosperity, and security in the region. To achieve these ends, they advance the following principles for cooperation in Northeast Asia.

1. The states of Northeast Asia respect each other’s sovereignty, territorial integrity, and equality; accept that other countries have different political, economic, social, and cultural systems and the right to determine their own laws and regulations as well as other domestic affairs. They also recognize that they are obliged to abide by and implement international agreements to which they are a party.

2. The states of Northeast Asia will refrain from the threat or use of force against each other; will settle disputes through peaceful means; and pledge to use consultation, negotiation, and other peaceful means to prevent conflict between and among each other.

3. The states of Northeast Asia express their commitment to the protection and promotion of human rights in accordance with the purposes and principles of the UN Charter.

4. To prevent misunderstanding and develop trust, the states of Northeast Asia will promote dialogue, information exchange, and transparency on security issues of common concern.

5. The states of Northeast Asia respect the principle of freedom of navigation based on international law.

6. The states of Northeast Asia will promote economic cooperation and the development of trade and investment in the region.

7. The states of Northeast Asia will cooperate on transnational issues of common concern, such as organized crime, drug trafficking, terrorism, and illegal immigration.

8. The states of Northeast Asia will cooperate in the provision of humanitarian assistance, such as food aid and disaster relief.
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Tuesday, March 27

1800 | Reception and Welcome Dinner | Adobe Restaurante, Estancia Hotel

Wednesday, March 28

0730 | Breakfast

0830 | WELCOME
Susan SHIRK

0845 | ROUNDTABLE DISCUSSION: NEGOTIATING DENUCLEARIZATION ON THE KOREAN PENINSULA
Moderator | Susan SHIRK
Panelists | Senior representative from each country

1030 | Group Photo and Tea Break

1130 | PROSPECTS FOR NORTH-SOUTH KOREA RELATIONS
Moderator | MORIMOTO Satoshi
Panelists | CHOI Kang, YANG Xiyu

1230 | Lunch

1330 | UNDERSTANDING THE NEW US NATIONAL SECURITY AND DEFENSE STRATEGY OF THE TRUMP ADMINISTRATION AND ITS IMPLICATIONS FOR NORTHEAST ASIA
Moderator | VADM (Rtd.) Robert THOMAS
Panelists | BG Tracy KING, Robert EINHORN, Stephan HAGGARD

1530 | Tea Break

1545 | CONTINUITY AND CHANGE IN CHINA’S POLICY IN THE ASIA PACIFIC
Moderator | T. J. PEMPEL
Panelists | ZHU Feng, ZHANG Tuosheng

1700 | Meeting Adjourns

1830 | Reception and Dinner | Shirk Residence

All meetings take place in the Estancia Hotel
28th NORTHEAST ASIA COOPERATION DIALOGUE

Thursday, March 29

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<td>0730</td>
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| 0830  | ECONOMIC AND POLITICAL DEVELOPMENTS IN THE DPRK AND THEIR REGIONAL IMPLICATIONS  
       Moderator | LI Chen  
       Panelists | Georgy TOLORAYA, YANG Xiyu, Keith LUSE |
| 1000  | Tea Break                                                             |
| 1015  | REPORT OF THE DEFENSE INFORMATION SHARING PROJECT                     |
| 1115  | DEFENSES AND DETERRENCE IN NORTHEAST ASIA: HOW TO MANAGE THE SECURITY DILEMMAS?  
       Moderator | Tai Ming CHEUNG  
       Panelists | KIM Jina, Robert EINHORN, YAO Yunzhu, Satoshi MORIMOTO |
| 1215  | NEACD PLANNING                                                        |
| 1230  | Lunch and Conclusion of Meeting                                      |

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28th NORTHEAST ASIA COOPERATION DIALOGUE

JAPAN

Dr. JIMBO Ken
Assistant Professor
Policy Management
Keio University
Tokyo, Japan

The Hon. MORIMOTO Satoshi
Former Defense Minister
Professor
Takushoku University
Tokyo, Japan

Capt. OHARA Bonji
Senior Fellow
Sasakawa Peace Foundation
Tokyo, Japan

Vice Adm. (Rtd.) KODA Yoji
Japan Maritime Self-Defense Force
Tokyo, Japan

Mr. NAGAOKA Kanasuke
Deputy Assistant Minister
Foreign Policy Bureau
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Tokyo, Japan

Ms. SUZUKI Minami
National Security Policy Division
Ministry of Foreign Affairs
Tokyo, Japan

PEOPLE’S REPUBLIC OF CHINA

Dr. CHU Shulong
Professor
School of Public Policy and Management
Tsinghua University
Beijing, China

Maj. Gen. (Rtd.) YAO Yunzhu
Director Emeritus
Center on China-American Defense Relations
Academy of Military Sciences
People’s Liberation Army
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Dr. LI Chen
Lecturer
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Beijing, China

Mr. YANG Xiyu
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China Institute of International Studies
Beijing, China

Dr. WU Riqiang
Associate Professor
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Beijing, China

Dr. ZHANG Tuosheng
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Center for Foreign Policy Studies
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Beijing, China

Dr. ZHU Feng
Professor
Nanjing University
Nanjing, China
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REPUBLIC OF KOREA

Dr. CHOI Kang
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Seoul, Republic of Korea

Mr. KANG Seokhee
Deputy Director-General
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Ministry of Foreign Affairs
Seoul, Republic of Korea

Dr. KIM Jina
Research Fellow
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Mr. KIM Samsuk
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Seoul, Republic of Korea

Ms. KIM Siyoon
2nd Secretary
Korean Peninsula Peace Regime
Division
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Dr. LEE Byeonggu
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Mr. LEE Jachun
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Seoul, Republic of Korea

Mr. LEE Jungyoul
2nd Secretary
North Korean Nuclear Affairs
Negotiation Division
Ministry of Foreign Affairs
Seoul, Republic of Korea

RUSSIAN FEDERATION

Amb. Oleg BURMISTROV
Deputy Negotiator on North Korea Nuclear Program
Ministry of Foreign Affairs
Moscow, Russia

Col. Maxim BYKOV
Acting Defense Attaché
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Dr. Georigy TOLORAYA
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Russian Academy of Sciences
Professor
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Moscow, Russia
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UNITED STATES OF AMERICA

Dr. Tai Ming CHEUNG
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Dr. Stephen J. DEL ROSSO
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Brig. Gen. Tracy KING
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The Joint Staff
Political-Military Affairs, Asia
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Mr. Keith LUSE
Executive Director
National Committee on North Korea
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Dr. Susan SHIRK
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Mr. Eric ANDERSON
Research Associate

Mr. Patrick HULME
Graduate Student Assistant

Ms. Dana KOZHAKHMETOVA
Research Assistant

Ms. Binlu SONG
Research Assistant

Ms. Deborah OGLE
Conference Assistant

Ms. Marie THIVEOS STEWART
Project Manager
28th NORTHEAST ASIA COOPERATION DIALOGUE

JAPAN

JIMBO Ken is an associate professor, Faculty of Policy Management, Keio University, Tokyo, and a senior research fellow at the Canon Institute for Global Studies and the Tokyo Foundation. His main research fields are in international security, Japan-US security relations, Japanese foreign and defense policy, multilateral security in the Asia-Pacific, and regionalism in East Asia. He has been a visiting research fellow at the S. Rajaratnam School of International Studies, Nanyang Technological University, and at the Faculty of Political Science, Thammasat University. He holds a PhD in media and governance from Keio University.

Vice Adm. (Rtd.) KODA Yoji is a former member of the Japan Maritime Self-Defense Force, retiring as Commander in Chief, Self Defense Fleet in 2008. Koda served for more than 36 years in a wide range of posts, including director-general of the operations and plans department, and director-general of the Joint Staff Office. Koda is a prolific writer on maritime and strategic matters, and has written and published a host of articles on Japanese military history and security in both Japanese and English. He was a senior fellow at the Fairbank Center for Chinese Studies at Harvard University from 2009 to 2011. He is a graduate of the United States Navy Command College and the Japan Self-Defense Force Defense Academy.

The Hon. MORIMOTO Satoshi is a professor at Takushoku University and former minister of defense of Japan. Upon graduating from the National Defense Academy, Morimoto joined the Japan Self-Defense Air Forces. In 1977, he was assigned to the National Security Division of the American Bureau at the Ministry of Foreign Affairs. After officially joining the ministry in 1979, he was consistently put in charge of national security practices. He was assigned to the Minister of Defense under the Democratic Party Japan administration in 2012. He also served as special adviser to the Minister of Defense (2015–2016). He specializes in national security arms control, national defense, and international politics.

NAGAOKA Kanasuke is deputy assistant minister in the Foreign Policy Bureau, Ministry of Foreign Affairs, Japan, where he is the ambassador in charge of policy planning and international security policy. Nagaoka joined the Ministry in 1989. His overseas postings have included Israel and Iraq. From 2015 to 2018 he was the the minister of the political affairs and specialized agencies section of the Permanent Mission of Japan in Geneva, Switzerland.

Capt. (Rtd.) OHARA Bonji is a senior fellow at the Sasakawa Peace Foundation in Tokyo. Ohara graduated from the National Defense Academy of Japan and completed a master’s program at the University of Tsukuba. He became the leading pilot of the 101st flight division, Maritime Self-Defense Force, in 1998. He enrolled in the General Course of the National Institute for Defense Studies in 2001. Ohara was stationed in China between 2003 and 2006 as a naval attaché and became a chief of the intelligence section, Maritime Staff Office in the Ministry of Defense in 2006; executive officer of the 21st air squadron, MSDF, in 2008; and commanding officer of the squadron the following year. Ohara joined NIDS as a research fellow in 2010, worked for IHS Jane’s as an analyst and business development manager, and for the Tokyo Foundation as a director of research before assuming the position of senior fellow in the Sasakawa Peace Foundation in June 2017.

SUZUKI Minami is assistant director of the National Security Policy Division, Ministry of Foreign Affairs, Japan. Suzuki has been in charge of coordinating ARF related issues in the Ministry since she joined the division in July 2017. In addition, she is a member of a task force undertaking domestic measures implementing UNSC resolution 2371, 2375, 2397 and other related resolutions.

PEOPLE’S REPUBLIC OF CHINA

CHU Shulong is a professor of political science and international relations at the School of Public Policy and Management and the director of the Institute of International Strategic and Development Studies at Tsinghua University in Beijing. He is also a professor at China’s Ministry of Foreign Affairs’ Party School and an advisor to China’s Central Television international reporting. He was previously director for the North American Studies Division of the China Institute of Contemporary International Relations. He was a senior visiting fellow at the Center for US–China Relations of New York University in January 2013, at the Brookings Institution in 2006–2007, and the East West Center in 2001. Dr. Chu’s major areas of research are international relations, US foreign strategy and China policy, Sino–US relations, and China’s foreign and security strategies. His most recent publications include *Sino-US Relations in the Post-Cold War Era; Basic Theories of International Relations; China’s Foreign Strategy and Policy, and American Government and Politics* (three volumes). Dr. Chu received a BA from Dalian Foreign Languages University, an MA in law from the Beijing University of International Relations, and a PhD in political science from the George Washington University.

LI Chen is an assistant professor of international security and the strategy program director at the School of International Studies at Renmin University of China. Li teaches courses on international history, Asia-Pacific security, and strategic studies. His research interests include strategic and diplomatic history, Chinese military strategy, Asia-Pacific security and China-US security relations, on which he has published scholarly articles in leading journals such as *Journal of Strategic Studies* and various policy briefings. He is also a fellow of National
Academy of Development and Strategy, Renmin University of China, focusing on policy-relevant studies of traditional security and military strategy. He received his PhD from the University of Cambridge and his MSc from the London School of Economics and Peking University.

**WU Riqiang** is an associate professor at the School of International Studies at Renmin University of China, where his research focuses on missile defense, strategic stability, and Sino-US crisis escalation. From 2000 to 2006, he was a missile designer at the China Aerospace Science and Industry Corporation. He has been a Stanton Nuclear Security Fellow at the Center for International Security and Cooperation, a visiting fellow at the Dickey Center for International Understanding at Dartmouth College, and a MacArthur Visiting Fellow at Tsinghua University. He holds a PhD in political science from Tsinghua University and a master’s degree in general mechanics from Harbin Institute of Technology.

**YANG Xiyu** is a senior research fellow at the China Institute of International Studies in Beijing. Before joining CIIS in 2008, he was a Pantech Fellow at Stanford University. Prior to that, he had a distinguished career in the Chinese Foreign Ministry. He previously served as founding director of the Office on Korean Peninsula Affairs in the Foreign Ministry (2004–2005). In this capacity, he was heavily involved in the Six Party Talks, and drafted the “9.19 Joint Statement” that is commonly accepted by all the parties as guidance for the denuclearization of the Korean Peninsula. He was also representative for China at the Working Level Meeting of the Four Party Talks in New York (1996–1998), and assistant to the head of the Chinese delegation at the Four Party Talks in Geneva. Earlier, he served in the Chinese Embassy in the United States (1994–1998), and as counselor in the Chinese Permanent Mission to the United Nations (2002–2003). He was a recipient of the State Council’s Award for Distinguished Contribution to the Social Science Studies, and also enjoys the Special Allowance for Experts, an honor awarded by the Chinese Central Government.

**YAO Yunzhu** is a major general of the People’s Liberation Army (PLA), the director emeritus of the Center on China-American Defense Relations, and member of the Academic Committee of National Think Tank Programs, Academy of Military Science (AMS). She joined the PLA in 1970, served in the PLA as an enlisted, a staff officer, an instructor, a research director, deputy director and director of a research office, and director of a research center. She holds an MA from the PLA’s Foreign Languages Institute, and a PhD in military science from the Academy of Military Science. She was a visiting scholar at the School of Oriental and African Studies, London University from 1995 to 1996, an Eisenhower Fellow in 1999, and a visiting fellow at Harvard University from 2009 to 2010. Yao has published books, and translated books, articles, and papers on international military and security issues, US military affairs, nuclear weapon policy and arms control, Asia-Pacific security issues such as cross-Taiwan Strait relations, the DPRK nuclear issue, and maritime security. Her works include chapters in Post-war American Deterrence: Theories and Policies, On Asia-Pacific Security Strategy, and 20th Century Strategic Legacy and the World through Chinese Scholars. She was elected a member of the 10th National People’s Congress of the PRC in 2002, and a member of the 17th Chinese Communist Party Congress in 2007.

**ZHANG Tuosheng** is the director of the Center for Foreign Policy Studies at the China Foundation for International and Strategic Studies in Beijing.


**REPUBLIC OF KOREA**

**CHOI Kang** is the vice president for research and a principal fellow at the Asan Institute for Policy Studies. Choi is a member of the advisory council for the Office of National Security. Previously, he was the dean of planning and assessment at the Korean National Diplomatic Academy. In 2012, Choi served as the president at the Institute of Foreign Affairs and National Security (IFANS). He was also a professor and director general for American Studies at IFANS, a research fellow at the Korea Institute for Defense Analyses, and senior director for Policy Planning and Coordination on the National Security Council Secretariat. Choi was also a South Korean delegate to the Four-Party Talks. He writes extensively on the ROK-US alliance, North Korean military affairs, inter-Korean relations, crisis management, and multilateral security cooperation. Choi received his BA from Kyunghee University, MA from the University of Wisconsin-Madison, and his PhD in political science from Ohio State University.
KANG Seokhee is a career diplomat of the Republic of Korea. He is currently the deputy director-general for North Korean Nuclear Affairs in the Ministry of Foreign Affairs. Kang joined the Ministry in 1993 and has had a range of diplomatic service appointments such as first secretary of the Korean Mission to the UN in New York; counsellor of the Korean Embassy in Kabul, Afghanistan; counsellor of the Korean Embassy in London, UK; and vice consul-general of the Korean Consulate-General in Nagoya, Japan. In the Foreign Ministry headquarters in Seoul, he has dealt with various issues including North Korea, Consular Affairs, and European Affairs. He was director of Korean Peninsula Peace Regime, director of Overseas Korean Nationals Protection and Crisis Management and deputy director-general for European Affairs in the Ministry. Kang received a BA in political science from Seoul National University and an MA in international studies from the University of Washington.

KIM Jina is a research fellow at the Korea Institute for Defense Analyses, specializing in US-North Korea relations, nuclear nonproliferation, and Northeast Asian security. She holds a PhD in international relations from the Fletcher School of Law and Diplomacy at Tufts University and teaches at Yonsei Graduate School of International Studies. She is a member of the Advisory Committee for the Ministry of National Unification and a member of the National Policy Review Board for the Prime Minister’s Office. She also serves on the Blue House’s Public Information Committee. She is the author of The North Korean Nuclear Weapons Crisis (Palgrave McMillan, 2014) and coauthor of North Korea and Asia’s Evolving Nuclear Landscape (NBR, 2017), Maritime Security and Governance (Chug Hsing National University, 2014), The North Korea Crisis and Regional Responses (East-West Center, 2014), and The North Korean Military Secret Report (Planet Media, 2013). Her recent publications in academic journals include “Assessing Export Controls of Strategic Items to North Korea” (2017), “North Korea’s Strategic Alliance Towards Becoming a Nuclear Weapons State” (2017), “Nuclear Brinkmanship on the Korean Peninsula and the Effects of Cognitive Variables in Crisis Decision Making” (2016), and others.

KIM Samsuk is deputy director of the Multinational Security Policy Division, International Policy Bureau, Ministry of National Defense, Republic of Korea. He also served as deputy director of the WMD Division. He is also an organizer of multinational security conferences such as Shangri-La Dialogue, ADMM-Plus, the Fullerton Forum, and the Moscow International Security Conference. He received a BA in law from Kook Min University in Korea and an MA in political science from the State University of New York.

KIM Siyoon is 2nd Secretary in the Korean Peninsula Peace Regime Division in the Ministry of Foreign Affairs.

1st Lt. KIM Tae Hyoung is an interpreting officer in the Multilateral Security Policy Division of the Republic of Korea’s Ministry of National Defense. He graduated from Hong Kong University of Science and Technology with a BBA in information systems and marketing in 2015, and studied international cooperation at the Graduate School of International Studies, Seoul National University. Kim was commissioned in 2016 and initially served as the interpreting officer in the ROK Air Force Air Intelligence Wing located at Osan Air Base and conducted combined missions with the US Air Force. He participated in the ASEAN Defense Minister’s Meeting-Plus (ADMM-Plus) and the Fullerton Forum to support the ROK delegation headed by the ROK Minster of National Defense Minister Song Young-moo.

Brigadier General KIM Yong-Ho is deputy director general for foreign intelligence for the Korea Defense Intelligence Agency under the Republic of Korea’s Ministry of National Defense. He also served as chief of staff of Korea’s Intelligence Command from December 2015 to December 2017. As the deputy director general for foreign intelligence, he is engaged in intelligence analysis and defense diplomacy and participates in various international conferences on security. He is an expert in the field of North Korea, having participated in numerous North Korea consultations. Upon successfully completing his training at the Korea Military Academy, he received an MA in national security from Kyung Hee University and a PhD in political science and diplomacy from Kyungnam University.

LEE Byeonggu is an associate professor of military strategy at Korea National Defense University and a policy advisor to the Republic of Korea Joint Staff. He graduated from Korea Military Academy with a BE in civil engineering. He holds an MA in military strategy from Korea National Defense University and a PhD in political science from the University of Kansas. He has been a research director for the Korea National Defense Policy Society since 2016 and was a visiting scholar to the US-Korea Institute at Johns Hopkins University from 2016 to 2017. His major research areas include US defense policy and military strategy such as US-China relations, the rebalance to Asia, and North Korea’s nuclear strategy. His recent research papers focus on the ROK-US alliance and the nuclear strategies of the United States and North Korea.

LEE Jaehun is an analyst of foreign intelligence with the Korea Defense Intelligence Agency under the Republic of Korea’s Ministry of National Defense. He also served as intelligence conference organizer from January 2011 to January 2014. During his duty, he organized various international conferences for national security and has conducted intelligence analysis reports related to international affairs. He received a BA in business from Dong Guk University and an MA in politics from Hankook University of Foreign Study in Korea.
LEE Jungyoul is 2nd Secretary in the North Korean Nuclear Affairs Negotiation Division in the Ministry of Foreign Affairs.

RUSSIAN FEDERATION

Ambassador-at-large Oleg BURMISTROV is a senior Russian foreign affairs official and the Russian Federation’s deputy negotiator on the North Korea nuclear program.

Colonel Maxim V. BYKOV is the acting defense attaché at the Embassy of the Russian Federation to the United States. Prior to this, Col. Bykov served as the senior assistant defense attaché at the Embassy. He previously served as the military inspector of the 1st inspection (army, airborne), military inspection of the Ministry of Defense of the Russian Federation from 2010 to 2014 and was the assistant defense attaché at the Embassy of the Russian Federation to the United States from December 2007 to December 2010. He also was the administrative officer of the DAO at the Embassy of the Russian Federation in Bolivia from 1999 to 2002. A native of Bashkiria, Russia, Bykov graduated from the Command Military College of Communication (Ryazan, Russia) and from the Combined Military Academy of the Armed Forces of the Russian Federation (Moscow) and served as assistant (senior assistant) of the head of the department, Military Communication Center and as officer (senior officer) of the department of combat training of the General Staff (Moscow). Bykov is a graduate of the US-Russia Security Cooperation Program at the John F. Kennedy School of Government, Harvard University.

Vasily KASHIN is a senior researcher at the National Research University Higher School of Economics, Moscow, Russia, and at the Institute of Far Eastern Studies North-East Asia Center. He holds a PhD from Moscow State University. Previously he worked in the Institute for Far Eastern Studies in the Russian Academy of Sciences, for the Vedomosti business newspaper, as deputy chief of the Beijing office of RIA Novosti, and as a senior research fellow at the Center for Analysis of Strategies and Technologies, a defense industry consultancy.

Georgy TOLORAYA is a former diplomat (rank of Minister) and a scholar with decades-long experience on Asian and global issues. Since 2008 he has been working for the “Russkiy Mir” (Russian World) Presidential Foundation in Moscow as chair of regional programs, coordinating, inter alia, Asian and African programs. He is concurrently director of the Asian Strategy Center at the Institute of Economy of the Russian Academy of Sciences and serves as CEO of the Russian National Committee on BRICS Research. Toloraya also teaches at MGIMO (Moscow University of International Relations). Toloraya graduated from MGIMO in 1978, received his PhD in 1984, degree of doctor of economy in 1994, and full professor degree in 2002. He served two postings in North Korea (1977–1980 and 1984–1987), worked for trade promotion agencies related to Asia, served in the Russian Foreign Ministry, was deputy chief of the Russian Embassy in South Korea (1993–1998), First Asian Department deputy director-general (1998–2003), and consul general of Russia in Sydney (2003–2007). He collaborated with a number of academic institutes (among them IMEMO and Institute of Economics) as a full-time and part-time researcher and in 2007–2008 was a visiting fellow at the Brookings Institution, Washington, DC. He has published many articles and books on East Asia and global governance issues.

Alexander VORONTSOV is head of the Korea and Mongolia Department at the Institute of Oriental Studies of the Russian Academy of Sciences and an associate professor at the Moscow State University of International Relations. He also holds a post as a Russian Military Science Academy professor. He has repeatedly taken part in Track 1.5 and Track 2 conferences dealing with the security situation on the Korean Peninsula and East Asia. He has been a visiting professor at the Hanguk University of Foreign Studies in Seoul; Ritsumeikan University in Kyoto, Japan; Akita International University, Japan; a member of faculty of Yonsei University International Summer School in Seoul; and a visiting fellow at the Brookings Institution Center for Northeast Asian Policy Studies in Washington, DC. Vorontsov served as second secretary in the Russian Federation’s Embassy in Pyongyang from 2000 to 2002. Vorontsov holds a PhD in history from the Institute of Oriental Studies at the USSR Academy. He was a member of the Russia-DPRK, Russia-ROK Intergovernmental Commission dealing with trade-economic and scientific-technical cooperation. Vorontsov has studied at Lomonosov Moscow State University and Pyongyang Kim Il Sung University.

UNITED STATES OF AMERICA

Tai Ming CHEUNG is the director of IGCC and the leader of IGCC’s project “The Evolving Relationship Between Technology and National Security in China: Innovation, Defense Transformation, and China’s Place in the Global Technology Order.” He is a long-time analyst of Chinese and East Asian defense and national security affairs. Cheung was based in Asia from the mid-1980s to 2002 covering political, economic, and strategic developments in greater China. He was also a journalist and political and business risk consultant in northeast Asia. Cheung received his PhD from the War Studies Department at King’s College, London University. His latest book, *Fortifying China: The Struggle to Build a Modern Defense Economy*, was published by Cornell University Press in 2009. He is an associate professor at the School of Global Policy and Strategy at the University of California San Diego, where he teaches courses on Asian security and Chinese security and technology.
Stephen J. Del Rosso directs the International Peace and Security Program at Carnegie Corporation of New York. Previously, he was director of programs at the Chicago Council on Foreign Relations and managed the Pew Charitable Trusts' Global Security Program. He served ten years in the foreign service, including in the executive secretariat of Secretary of State George Shultz. He also was a Presidential Management Fellow at NASA, news producer at Voice of America, and staff assistant to a British parliamentarian. He holds a PhD in political science from the University of Pennsylvania, an MA in law and diplomacy from the Fletcher School, a diploma from SAIS Bologna, and a BA from Tufts.

Robert Einhorn is a senior fellow with the Brookings Institution's Arms Control and Nonproliferation Initiative. Before Brookings, Einhorn served as the US State Department's special advisor for nonproliferation and arms control, a position created by Secretary of State Hillary Clinton in 2009. Between 2001 and 2009, Einhorn was a senior advisor at the Center for Strategic and International Studies, where he directed the CSIS Proliferation Prevention Program. Before coming to CSIS, he was Assistant Secretary of State for Nonproliferation (1999–2001), Deputy Assistant Secretary of State for Political-Military Affairs (1992–1999), and a member of the State Department Policy Planning Staff (1986–1992). Between 1972 and 1986, he held various positions at the US Arms Control and Disarmament Agency, including ACDA's representative to the strategic arms reduction talks with the Soviet Union. Einhorn holds a BA in government from Cornell University and a MA in public affairs and international relations from the Woodrow Wilson School at Princeton University.

Stephan Haggard is the Kraus Distinguished Professor at the School of Global Policy and Strategy at the University of California San Diego. He has written widely on the political economy and international relations of East Asia. His work on North Korea with Marcus Noland includes Famine in North Korea (2007), Witness to Transformation: Refugee Insights into North Korea (2011), and Hard Target: Sanctions, Engagement, and the Case of North Korea (forthcoming 2017). Haggard runs the Witness to Transformation blog with Marcus Noland and currently has a regular column with Joongang Ilbo.

Brig. Gen. Tracy King is currently assigned as the vice director for logistics (J4), Joint Staff. General King has served in all three Marine Expeditionary Forces and with all four elements of the Marine Air-Ground Task Force. His tours of duty include the Mountain Warfare Training Center, Bridgeport, California, Marine Corps Forces Reserve in New Orleans, Louisiana, Marine Corps University as the director of School of Advanced Warfighting, Joint Duty with the Institute for Defense Analyses, and as the chief of staff, 1st Marine Expeditionary Brigade. In 2003 he was assigned to I Marine Expeditionary Force for Operation Iraqi Freedom. During that same year he reported to II MEF and served as the Pacific and European Command Regional Plans Officer and as the Future Operations Officer for II MEF (Forward). In 2005 he reported to 1st Marine Aircraft Wing for duty as the Commanding General's Staff Secretary and as Commanding Officer, Marine Wing Support Squadron 172. He later commanded Combat Logistics Regiment-15, 1 MEF and 3d Marine Logistics Group, III MEF. King is a graduate of Summer/Winter Mountain Leaders, Amphibious Warfare School, Naval Command and Staff, the School of Advanced Warfighting, and the National War College. He holds an MA in strategic studies and an MS in national policy.

Keith Luse is the executive director of the National Committee on North Korea (NCNK). Luse has traveled on five occasions to North Korea and has participated in numerous Track 1.5 and Track 2 sessions about North Korea or with North Korean officials in Kuala Lumpur, Seoul, and the United States. At the US Senate Foreign Relations Committee he served as the Republican East Asia policy advisor from 2003 until 2013 when Senator Lugar served as chairman and later ranking member. Prior to his work at the Committee, Luse was staff director for Lugar at the Senate Agriculture Committee from 1999 through 2002. In addition to assisting Senator Lugar at the Foreign Relations Committee on legislative initiatives, Luse directed or participated in several oversight projects and investigations. They included the integrity of the US-funded humanitarian assistance distribution process inside North Korea; the murder of Americans in Papua, Indonesia; corruption and transparency challenges at the Asia Development Bank and the World Bank, and an evaluation of the effectiveness of US foreign assistance to countries in East Asia with an emphasis on Cambodia and Indonesia. In 2015, Luse was presented the Vietnam “Medal of Friendship” by President Truong Tan Sang for active contributions to the process of Normalization and Development of the US–Vietnam relationship. Upon departing the Senate in 2013, Luse received the Philippine Legion of Honor Award from President Aquino for assisting Senator Lugar’s efforts to foster relations between the United States and the Philippines and Southeast Asia. He is also a co-recipient of the 2010 Kato Ryozo Award for Service to the US–Japan Alliance. Luse’s BA in political science is from Indiana University. His graduate certificate in public management and additional graduate studies were obtained at Indiana University and Purdue University, Indianapolis.

Vice Adm. (Rtd.) Charles Martoglio spent about half of his military career in the US Navy at sea in cruisers, destroyers, and aircraft carriers. While at sea, he commanded an Aegis destroyer, Destroyer Squadron Twenty-Three (during the onset of Operation Iraqi Freedom), and the Ronald Reagan Aircraft Carrier Strike Group. Martoglio spent the other half of his career developing strategy and policy, fostering international relations, and conducting operations, initially for the US Navy,
then for all US military forces. His real-world responsibilities included crisis management, strategic planning, contingency planning and execution, military force management, interagency operations, strategic leadership, international relationship management and coalition building, and civil-military planning and operations. Martoglio is recognized as one of the Defense Department’s preeminent strategic thinkers, with proven expertise in contingency planning, international relations, and operations execution. He has served in Asia, the Middle East, and, most recently, in Europe as the Deputy Commander of all US military forces in Europe, Eurasia, and Israel. In various capacities he has developed the US Navy’s Strategic Plan, led security-related organizations through significant change, headed the planning effort for some of our nation’s most complex contingency plans, and has overseen the integration of the US Government Interagency into Defense Department operations in both Asia and Europe. Martoglio is a graduate of the US Naval Academy. He also served as the senior Mahan Scholar at the US Naval War College, graduating with highest distinction and earning a master’s degree in national security and strategic studies.

T. J. PEMPEL (PhD, Columbia) is Jack M. Forcey Professor of Political Science in the Department of Political Science at the University of California Berkeley, which he joined in July 2001. Pempel’s research and teaching focus on comparative politics, political economy, contemporary Japan, and Asian regional ties. In 2015, he co-edited a book entitled Two Crises; Different Outcomes (Cornell University Press). His current research involves Asian adjustments to the rise in global finance and the decline in security bipolarity as well as Asian regional tensions.

Susan L. SHIRK is the chair of the 21st Century China Center and a research professor at the School of Global Policy and Strategy at the University of California San Diego. From 1997 to 2000, Shirk served as deputy assistant secretary of state in the Bureau of East Asia and Pacific Affairs, with responsibility for China, Taiwan, Hong Kong, and Mongolia. Shirk founded in 1993 and continues to lead the Northeast Asia Cooperation Dialogue (NEACD), an unofficial “track 1.5” forum for discussions of security issues among defense and foreign ministry officials and academics from the United States, Japan, China, Russia, and the Koreas. She received her BA in political science from Mount Holyoke College, her MA in Asian studies from the University of California Berkeley, and her PhD in political science from the Massachusetts Institute of Technology.

Vice Adm. (Rtd.) Robert THOMAS retired from the US Navy in early 2017. He then accepted an appointment as a senior research fellow with the University of California’s Institute on Global Conflict and Cooperation. In his last operational assignment, Thomas commanded the US 7th Fleet. Thomas graduated from the University of California Berkeley with a BS in civil engineering. He holds an MA in national security studies from the National War College in Washington, DC.

William WESLEY assumed duties as the director, Plans and Policy, US Pacific Fleet in January 2001. Wesley acts as the principal advisor to the Commander of the Pacific Fleet on matters of policy and planning development regarding foreign engagement, strategic planning, operational research, and Joint and Navy doctrine. In this capacity, he supports the Commander’s Strategic Plan by leading a diverse organization that translates national political-military strategy and policy into Pacific Fleet objectives; develops and articulates Fleet input into regional and national political-military strategy and policy; formulates and conducts the Pacific Fleet Research, Analyses, and War Game Program, which is responsible for resourcing, training, and sustaining naval operational forces for the Pacific Theater; and acts as policy coordinator and planner for conventional and nuclear war plans issues, strategic planning, theater security cooperation planning, foreign engagement, combating weapons of mass destruction, humanitarian civic assistance planning, and pandemic influenza planning. Wesley previously served in the US Marine Corps for 35 years where he attained the grade of Colonel. He served a ground combat tour in Vietnam, and after commissioning, was designated both a Naval flight officer, and later as a Naval aviator flying RF4B/F4/OA4M aircraft. After retiring in July 2000, he accepted a civilian government service position in January 2001. Wesley graduated with a BA in history magna cum laude from Pepperdine University and an MPA from the University of Oklahoma. Wesley’s personal decorations include 3 Legion of Merits, 3 Meritorious Service Medals, a Navy Achievement Medal, and the Combat Action ribbon, as well as various service medals, commendations and citations to include the Navy Superior Civilian Service Award and Navy Meritorious Civilian Service Award.