We are delighted to welcome you to Hong Kong for the 29th Northeast Asia Cooperation Dialogue (NEACD). Deepening uncertainties and tensions in Northeast Asia and the international system more broadly make this meeting an opportune time to exchange ideas candidly among participants from all attending countries.

With a rich selection of topics to be discussed at NEACD we are looking forward to our usual rounds of insightful and meaningful exchanges.

As this is a Track 1.5 event and completely off the record, all the government and defense representatives come in a non-official status, which means they do not represent their governments. We hope that this will allow for free and frank discussions. You will find complete agendas and participant lists within this directory.

We also wish to acknowledge the generous support of the Carnegie Corporation of New York, which has been a strong supporter of NEACD for many years.

With best wishes,
The states of Northeast Asia share the common objectives of peace, prosperity, and security in the region. To achieve these ends, they advance the following principles for cooperation in Northeast Asia.

1. The states of Northeast Asia respect each other’s sovereignty, territorial integrity, and equality; accept that other countries have different political, economic, social, and cultural systems and the right to determine their own laws and regulations as well as other domestic affairs. They also recognize that they are obliged to abide by and implement international agreements to which they are a party.

2. The states of Northeast Asia will refrain from the threat or use of force against each other; will settle disputes through peaceful means; and pledge to use consultation, negotiation, and other peaceful means to prevent conflict between and among each other.

3. The states of Northeast Asia express their commitment to the protection and promotion of human rights in accordance with the purposes and principles of the UN Charter.

4. To prevent misunderstanding and develop trust, the states of Northeast Asia will promote dialogue, information exchange, and transparency on security issues of common concern.

5. The states of Northeast Asia respect the principle of freedom of navigation based on international law.

6. The states of Northeast Asia will promote economic cooperation and the development of trade and investment in the region.

7. The states of Northeast Asia will cooperate on transnational issues of common concern, such as organized crime, drug trafficking, terrorism, and illegal immigration.

8. The states of Northeast Asia will cooperate in the provision of humanitarian assistance, such as food aid and disaster relief.
29th NORTHEAST ASIA COOPERATION DIALOGUE

MONDAY, JUNE 10

1800 | Reception and Welcome Dinner | Cherry Room, InterContinental Hotel, Kowloon

TUESDAY, JUNE 11

0730 | Breakfast

0830 | WELCOME
Susan SHIRK

0845 | ROUNDTABLE DISCUSSION: NEGOTIATING DENUCLEARIZATION OF THE KOREAN PENINSULA
Moderator | Susan SHIRK
Panelists | Senior representative from each country

1030 | Group Photo and Tea Break

1045 | THE CURRENT STATE AND FUTURE PROSPECTS FOR NORTHEAST ASIAN CONFIDENCE BUILDING AND SECURITY COOPERATION
Moderator | T. J. PEMPEL
Panelists | SHEEN Seong-ho, Sugio TAKAHASHI, ZHOU Bo

1230 | Lunch

1330 | THE REPUBLIC OF KOREA’S RELATIONS WITH ITS REGIONAL NEIGHBORS AND THE UNITED STATES
Moderator | Ken JIMBO
Panelists | Jina KIM, YANG Xiyu, Georgy TOLORAYA

1530 | Tea Break

1545 | HIGHLIGHTS OF THE DEFENSE INFORMATION SHARING WORKSHOP AND THE IGCC 2018-2019 NORTHEAST ASIA DEFENSE TRANSPARENCY INDEX
Moderator | Tai Ming CHEUNG

1645 | Meeting Adjourns

1830 | Dinner | Tsui Hang Village, Kowloon

Unless otherwise noted, all meetings take place in the Elm, Pine, and Cypress Room, InterContinental Hotel, Kowloon
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<th>Time</th>
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<tbody>
<tr>
<td>0730</td>
<td>Breakfast</td>
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<tr>
<td>0830</td>
<td><strong>WHAT IS THE TRUMP ADMINISTRATION’S NORTHEAST ASIA POLICY?</strong> DIPLOMATIC, DEFENSE, AND ECONOMIC PERSPECTIVES</td>
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<td>1015</td>
<td>Tea Break</td>
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<tr>
<td>1030</td>
<td><strong>WHAT IS CHINA’S NORTHEAST ASIA POLICY?</strong> DIPLOMATIC, DEFENSE, AND ECONOMIC PERSPECTIVES</td>
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<td>1115</td>
<td>Moderator</td>
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<td>Panelists</td>
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<td>1215</td>
<td>NEACD PLANNING</td>
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<td>1230</td>
<td><strong>Conclusion of Meeting</strong></td>
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<td>Lunch</td>
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29th NORTHEAST ASIA COOPERATION DIALOGUE

JAPAN

Dr. JIMBO Ken
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Tokyo, Japan

LCDR SONODA Akimitsu
Defense and International Policy Planning Division
Defense Plans and Policy Department (J-5)
Joint Staff
Ministry of Defense
Tokyo, Japan

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Consulate-General of Japan
Hong Kong

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Ms. YADA Junko
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International Policy Division
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Sr. Col. ZHOU Bo
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Center of International Security Cooperation
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Beijing, China
## 29th NORTHEAST ASIA COOPERATION DIALOGUE

### REPUBLIC OF KOREA

<table>
<thead>
<tr>
<th>Name</th>
<th>Title and Affiliation</th>
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<tbody>
<tr>
<td>Dr. CHO Namhoon</td>
<td>Senior Research Fellow, Korea Institute for Defense Analyses</td>
</tr>
<tr>
<td></td>
<td>Seoul, Republic of Korea</td>
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<tr>
<td>Mr. CHOI Jeongick</td>
<td>Ministry of Defense, Seoul, Republic of Korea</td>
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<tr>
<td>1st Lt. LEE Dokee</td>
<td>Interpreter, International Policy Division, Ministry of Defense, Seoul, Republic of Korea</td>
</tr>
<tr>
<td>Dr. KIM Eun-bi</td>
<td>Assistant Professor, Korea National Defense University, Seoul, Republic of Korea</td>
</tr>
<tr>
<td>Dr. KIM Jina</td>
<td>Research Fellow, Korea Institute for Defense Analyses, Seoul, Republic of Korea</td>
</tr>
<tr>
<td>Mr. PARK Jang-ho</td>
<td>Deputy Director-General, Ministry of Foreign Affairs, Seoul, Republic of Korea</td>
</tr>
<tr>
<td>Dr. SHEEN Seong-ho</td>
<td>Professor, Graduate School of International Studies, Seoul National University, Seoul, Republic of Korea</td>
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### RUSSIAN FEDERATION

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<tr>
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<tr>
<td>Lt. Gen. (Rtd.) Evgeny BUZHINSKIY</td>
<td>Chairman of the Executive Board, PIR Center, Moscow, Russia</td>
</tr>
<tr>
<td>Dr. Vasilii KASHIN</td>
<td>Senior Research Fellow, Center for Comprehensive European and International Studies, National Research University Higher School of Economics, Moscow, Russia</td>
</tr>
<tr>
<td>Mr. Igor SAGITOV</td>
<td>Deputy Director, 1st Asian Department, Ministry of Foreign Affairs, Moscow, Russia</td>
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<tr>
<td>Dr. Georgy TOLORAYA</td>
<td>Director, Asian Strategy Center, Institute of Economics, Russian Academy of Sciences, Moscow, Russia</td>
</tr>
<tr>
<td>Dr. Alexander VORONTSOV</td>
<td>Head of Department for Korean and Mongolian Studies, Institute of Oriental Studies, Russian Academy of Sciences, Professor, Military Sciences Academy of the Russian Federation, Moscow, Russia</td>
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### UNITED NATIONS

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<th>Name</th>
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</thead>
<tbody>
<tr>
<td>Ms. Sonja BACHMANN</td>
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</tr>
</tbody>
</table>
29th NORTHEAST ASIA COOPERATION DIALOGUE

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Ken Jimbo is a professor, Faculty of Policy Management, Keio University, Tokyo, and an adjunct senior research fellow at the Canon Institute for Global Studies and the Tokyo Foundation. He is currently a visiting professor on the Faculty of Political Science at Thammasat University (April 2019–March 2020). He is also an advisor to the National Security Secretariat Advisory Board; director, Board of Directors, Civic Force; and a member of the International Institute for Strategic Studies. His main research fields are in international security, Japan-US security relations, Japanese foreign and defense policy, multilateral security in the Asia-Pacific, and regionalism in East Asia. He has been a policy advisor at various Japanese governmental commissions and research groups including at the National Security Secretariat, the Ministry of Defense and the Ministry of Foreign Affairs. His recent books and articles include “Sino-Russian Military Cooperation and Japanese Defense Policy,” in Japan and the Sino-Russian Entente: The Future of Major-Power Relations in Northeast Asia (Seattle: National Bureau of Asian Research, 2017) and “Anchoring Diversified Security Cooperation in the ADMM-Plus: A Japanese Perspective,” Asia Policy 22 (July 2016).

Kodera Jiro is deputy director for national security policy in the Japanese Ministry of Foreign Affairs. Prior positions in the ministry include deputy director for cyber policy, deputy director for arms control and disarmament, and second secretary. He holds an MA in international policy studies from Stanford University and an MPP in international public policy from the University of Tokyo.

Takahashi Sugio is a senior research and chief of the Policy Simulation Division of the National Institute for Defense Studies in Tokyo. He was seconded to the Ministry of Defense as deputy director of the Office of Strategic Planning from 2008 to 2016. In that capacity, he was on the 2010 and 2013 drafting teams of the National Defense Program Guidelines, a capstone document of Japan’s defense strategy. He received an MA and BA from Waseda University and an MA from the George Washington University. Takahashi has published extensively in the area of nuclear strategy and the Japan-US alliance.

Amb. Wada Mitsuhiro is the consul-general of Japan in Hong Kong. He joined the Ministry of Foreign Affairs in 1983 and has held many positions within the ministry, including as minister, Embassy of Japan in the People’s Republic of China, assistant director-general of the Asian and Oceanian Affairs Bureau, deputy director-general of the International Cooperation Bureau and Southeast and Southwest Asian Affairs Department, and consul-general of Japan in Detroit, Michigan. Wada holds an MA in Asian Studies from UC Berkeley and an LLB from the University of Tokyo.


Chu Shulong is a professor of political science and international relations at the School of Public Policy and Management and the director of the Institute of International Strategic and Development Studies at Tsinghua University in Beijing. He is also a professor at China’s Ministry of Foreign Affairs’ Party School and an advisor to China’s Central Television international reporting, and director of the Center for Northeast Asian Studies of Dalian University of Foreign Languages. He was previously director for the North American Studies Division of the China Institute of Contemporary International Relations. He was a senior visiting fellow at the Center for US-China Relations of New York University in January 2013, at the Brookings Institution in 2006–2007, and the East West Center in 2001. Dr. Chu’s major areas of research are international relations, US foreign strategy and China policy, Sino–US relations, and China’s foreign and security strategies. His most recent publications include Sino-US Relations in the Post-Cold War Era; Basic Theories of International Relations; China’s Foreign Strategy and Policy, and American Government and Politics (three volumes). Dr. Chu received an MA in law from the Beijing University of International Relations, and a PhD in political science from the George Washington University.

Li Chen is an assistant professor of international security and the strategy program director at the School of International Studies at Renmin University of China. Li teaches courses on international history, Asia-Pacific security, and strategic studies. His research interests include strategic and diplomatic history, Chinese military strategy, Asia-Pacific security and China-US security relations, on which he has published scholarly articles in...
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Senior Colonel **WANG Jianliang**, Xiangshan Forum Secretariat Office, People's Liberation Army.

**YANG Xiyu** is a senior research fellow at the China Institute of International Studies in Beijing. Before joining CIIS in 2008, he was a Pantech Fellow at Stanford University. Prior to that, he had a distinguished career in the Chinese Foreign Ministry. He previously served as founding director of the Office on Korean Peninsula Affairs in the Foreign Ministry (2004–2005). In this capacity, he was heavily involved in the Six Party Talks, and drafted the “9.19 Joint Statement” that is commonly accepted by all the parties as guidance for the denuclearization of the Korean Peninsula. He was also representative for China at the Working Level Meeting of the Four Party Talks in New York (1996–1998), and assistant to the head of the Chinese delegation at the Four Party Talks in Geneva. Earlier, he served in the Chinese Embassy in the United States (1994–1998), and as counselor in the Chinese Permanent Mission to the United Nations (2002–2003). He was a recipient of the State Council's Award for Distinguished Contribution to the Social Science Studies, and also enjoys the Special Allowance for Experts, an honor awarded by the Chinese Central Government.

**YAO Yunzhu** is a retired major general of the People's Liberation Army (PLA), the director emeritus of the Center on China-American Defense Relations, and member of the Academic Committee of National Think Tank Programs, Academy of Military Science (AMS). She joined the PLA in 1970, served in the PLA as an enlisted, a staff officer, an instructor, a researcher, deputy director and director of a research office, and director of a research center. She holds an MA from the PLA's Foreign Languages Institute, and a PhD in military science from the Academy of Military Science. She was a visiting scholar at the School of Oriental and African Studies, London University from 1995 to 1996, an Eisenhower Fellow in 1999, and a visiting fellow at Harvard University from 2009 to 2010. Yao has published books, and translated books, articles, and papers on international military and security issues, US military affairs, nuclear weapon policy and arms control, Asia-Pacific security issues such as cross-Taiwan Strait relations, the DPRK nuclear issue, and maritime security. Her works include chapters in *Post-war American Deterrence: Theories and Policies*, *On Asia-Pacific Security Strategy*, and *20th Century Strategic Legacy and the World through Chinese Scholars*. She was elected a member of the 10th National People's Congress of the PRC in 2002, and a member of the 17th Chinese Communist Party Congress in 2007.

**ZHANG Tuosheng** is the director of the Center for Foreign Policy Studies at the China Foundation for International and Strategic Studies in Beijing.

Senior Colonel **ZHAO Xiaozhuo** is director of the Xiangshan Forum Secretariat Office and a senior research fellow at the People's Liberation Army Academy of Military Sciences. In recent years he has focused his research on the U.S. military, China-U.S. defense relations, and international security. Zhao has edited or coedited eight academic books, including *National Defense and Military Modernization in Foreign Countries* and a study on the Iraq war, and has made contributions to more than ten academic books, including *On War and Strategy and New Development of Military Theories in Major Powers in the 21st Century*. He has published more than 200 academic articles in various newspapers and magazines. Zhao spent a year in Africa from 2001 to 2002 as a military observer in the MONUC (the United Nations Mission in the Democratic
Senior Colonel ZHU Bo is director of the Center for Security Cooperation in the Office for International Military Cooperation, Ministry of National Defense, where he is responsible for multilateral cooperation. He started his military service in 1979, serving in different posts in the Guangzhou Air Force Regional Command. Starting in 1993, he worked successively as a staff officer, deputy director general of the West Asia and Africa Bureau, and then deputy director general, General Planning Bureau, Foreign Affairs Office, Ministry of National Defense of China. From 2006 to 2009 he was Chinese defense attaché to the Republic of Namibia. Senior Colonel Zhou has been a speaker as a PLA delegate at the Shangri-La Dialogue in Singapore and at the Munich Security conference. His essays and opinions in English have been published in Foreign Affairs, Financial Times, Australian, South China Morning Post, Diplomat, Straits Times and China Daily. He is a commentator on global security issues for CGTN and supervisor to foreign post-graduate officers at the PLA National Defense University. Zhou is a graduate of the Air Force Engineering College and a postgraduate of St. Edmund College, Cambridge University (MPhil in international relations). He was a visiting fellow to the Land Warfare Studies Center of the Australian Army in 1999. He has attended various courses at Harvard University, Westminster University, PLA National Defense University, PLA University of Science and Technology for the National Defense, and the PLA Army Command College (Shijiazhuang).

ZHU Feng is executive director of the China Center for Collaborative Studies of the South China Sea and director of the Institute of International Studies at Nanjing University. He writes extensively on regional security in East Asia, the nuclear issue in North Korea, and China–US military and diplomatic relations. As a leading Chinese security expert, Professor Zhu’s recent books include International Relations Theory and East Asian Security (2007), China’s Ascent: Power, Security, and Future of International Politics (co-edited with Robert S. Ross, Cornell, 2008), China-Japan Security Cooperation and Defense Communication: The Past, Present, and Future (co-edited with Akiyama Asahiro, Tokyo: Ajii Press, 2011), and America, China, and the Struggle for World Order: Ideas, Traditions, Historical Legacies, and Global Visions (co-edited with G. John Ikenbery and Wang Jisi, Palgrave Macmillan, 2015). He is on the editorial boards of several scholarly journals, consults independently for the Chinese government and the private sector, and comments frequently on television and radio and in print media on Chinese foreign affairs and security policy. Zhu received his PhD from Peking University.

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**SHEEN Seong-ho** is a professor of international security and director of Institute of International Affairs at Graduate School of International Studies, Seoul National University. His areas of interest include international security, US foreign policy, Northeast Asian politics, and the Korean Peninsula. Sheen was a visiting fellow at the East-West Center DC, a CNAPS fellow at the Brookings Institution, an assistant research professor at Asia-Pacific Center for Security Studies in Honolulu, Hawaii, and a research fellow at Institute for Foreign Policy Analysis in Cambridge, Massachusetts. He has taught at the University of Massachusetts Boston. In addition, he has advised various government organizations including the ROK National Ministry of Defense, Ministry of Foreign Affairs, Ministry of Unification, and the ROK National Assembly. Sheen received his PhD and MA from the Fletcher School of Law and Diplomacy, Tufts University, and his BA from Seoul National University.

**RUSSIAN FEDERATION**

Evgeny **BUZHINSKIY** is chairman of the Executive Board of the PIR Center, a think tank in Moscow, Russia, that specializes in international security, control and nonproliferation of weapons of mass destruction. A member of the Armed Services since 1968, Buzhinskiy served in various positions as an officer of the General Staff from 1976 to 1992. From 1992 to 2009 he served in various positions in the Russian Ministry of Defense. In 2002, he was appointed to the position of head of the International Treaty Directorate/deputy head of the Main Department of International Military Cooperation of the Russian Federation Ministry of Defense. He has been a lecturer at the International School on Global Security since 2010 and a lecturer for the PIR Center and MGIMO University Joint Module “WMD Nonproliferation and International Security” since 2013. From 2009 to 2017 he was deputy CEO of Vega Radio Engineering Corporation. He has also held positions as vice president of Russian International Affairs Council, member of the Sustainable Partnership with Russia (SuPR) Group and PIR Center International Expert Group, chair of the “Triilogue” Club International, and head of the Center for Applied Political-Military Studies of Moscow State University. He is a graduate of the M. V. Frunze Military Academy and holds a PhD in military sciences.
Vasilii KASHIN is a senior researcher at the National Research University Higher School of Economics, Moscow, Russia, and at the Institute of Far Eastern Studies North-East Asia Center. He holds a PhD from Moscow State University. Previously he worked in the Institute for Far Eastern Studies in the Russian Academy of Sciences, for the Vedomosti business newspaper, as deputy chief of the Beijing office of RIA Novosti, and as a senior research fellow at the Center for Analysis of Strategies and Technologies, a defense industry consultancy.

Igor SAGITOV is the deputy director of the 1st Asian Department in the Ministry of Foreign Affairs.

Georgy TOLORAYA is a former diplomat (rank of Minister) and a scholar with decades-long experience on Asian and global issues. Since 2008 he has been working for the “Russkiy Mir” (Russian World) Presidential Foundation in Moscow as chair of regional programs, coordinating, inter alia, Asian and African programs. He is concurrently director of the Asian Strategy Center at the Institute of Economy of the Russian Academy of Sciences and serves as CEO of the Russian National Committee on BRICS Research. Toloraya also teaches at MGIMO (Moscow University of International Relations). Toloraya graduated from MGIMO in 1978, received his PhD in 1984, degree of doctor of economy in 1994, and full professor degree in 2002. He served two postings in North Korea (1977–1980 and 1984–1987), worked for trade promotion agencies related to Asia, served in the Russian Foreign Ministry, was deputy chief of the Russian Embassy in South Korea (1993–1998), First Asian Department deputy director-general (1998–2003), and consul general of Russia in Sydney (2003–2007). He collaborated with a number of academic institutes (among them IMEMO and Institute of Economics) as a full-time and part-time researcher and in 2007–2008 was a visiting fellow at the Brookings Institution, Washington, DC. He has published many articles and books on East Asia and global governance issues.

Alexander VORONTSOV is head of the Korea and Mongolia Department at the Institute of Oriental Studies of the Russian Academy of Sciences and an associate professor at the Moscow State University of International Relations. He also holds a post as a Russian Military Science Academy professor. He has regularly taken part in Track 1.5 and Track 2 conferences dealing with the security situation on the Korean Peninsula and East Asia. He has been a visiting professor at the Hanguk University of Foreign Studies in Seoul; Ritsumeikan University in Kyoto, Japan; Akita International University, Japan; a member of faculty of Yonsei University International Summer School in Seoul; and a visiting fellow at the Brookings Institution Center for Northeast Asian Policy Studies in Washington, D. C. Vorontsov served as second secretary in the Russian Federation’s Embassy in Pyongyang from 2000 to 2002. Vorontsov holds a PhD in history from the Institute of Oriental Studies at the USSR Academy. He was a member of the Russia-DPRK, Russia-ROK Intergovernmental Commission dealing with trade-economic and scientific-technical cooperation. Vorontsov has studied at Lomonosov Moscow State University and Pyongyang Kim Il Sung University.

Sonja BACHMANN works as Teamleader on Northeast Asia and the Pacific in the UN Department of Political and Peacebuilding Affairs, Asia and the Pacific Division with a focus on peace and security issues on the Korean Peninsula and engagement with Pacific countries and the Pacific Islands Forum. Bachmann has previously worked for the UN Mission in Afghanistan, the UN envoy in the Cyprus negotiations, the Nepal peace process, and as a senior peace and development advisor in the UN Office in Fiji. Prior to joining the United Nations, Bachmann worked in the World Bank on community-driven development. She also spent a year in Peru advising the Peruvian government on investment promotion and sustainable tourism. Bachmann holds a master of law and diplomacy from the Fletcher School of Law and Diplomacy, and MA and PhD in political science from the University of Vienna. She is a national of Austria and speaks, English, German, Italian, Spanish and French.

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